

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	4821-369-999	Total Pages	38
First Named Inventor or Application Identifier			
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Express Mail Label No.	N/A		

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Assistant Commissioner for  
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1. ☒ Fee Transmittal Form  
*Submit an original, and a duplicate for fee processing)*
2. ☒ Specification [Total Pages 36]  
*(preferred arrangement set forth below)*

-Descriptive title of the Invention  
-Cross Reference to Related Applications  
-Statement Regarding Fed sponsored R&D  
-Reference to Microfiche Appendix  
-Background of the Invention  
-Brief Summary of the Invention  
-Brief Description of the Drawings (if filed)  
-Detailed Description of the Invention (including drawings, if filed)  
-Claim(s)  
-Abstract of the Disclosure

3. ☒ Drawing(s) (35 USC 113) [Total Sheets 0]

4. ☒ Oath or Declaration [Total Sheets 2]

- a. ☒ Newly executed (original or copy) (Unexecuted)
- b. ☒ Copy from a prior application (37 CFR 1.63(d))  
*(for continuation/divisional with Box 17 completed)*  
*[Note Box 5 below]*
- i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33 (b).

- d. ☒ Incorporation By Reference (useable if Box 4b is checked)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

6. ☐ Microfiche Computer Program (Appendix)
7. ☐ Nucleotide and/or Amino Acid Sequence Submission  
*(if applicable, all necessary)*
- a. ☐ Computer Readable Copy
- b. ☐ Paper Copy (identical to computer copy)
- c. ☐ Statement verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

8. ☐ Assignment Papers (cover sheet & document(s))
9. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney  
*(when there is an assignee)*
10. ☐ English Translation Document *(if applicable)*
11. ☐ Information Disclosure ☐ Copies of IDS  
Statement (IDS)/PTO-1449 Citations
12. ☐ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503)  
*(Should be specifically itemized)*
14. ☐ Small Entity Statement filed in prior application,  
Statement(s) Status still proper and desired
15. ☐ Certified Copy of Priority Document(s)  
*(if foreign priority is claimed)*
16. ☐ Other:

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: filed.

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**DERIVATIVES OF VENLAFAXINE AND  
METHODS OF PREPARING AND USING THE SAME**

**1. FIELD OF INVENTION**

- 5                   The invention relates to derivatives of racemic venlafaxine, methods of their synthesis, compositions comprising them, and methods of their use.

**2. BACKGROUND OF THE INVENTION**

- 10               A number of nontricyclic antidepressants have recently been developed that diminish the cardiovascular and anticholinergic liability characteristic of tricyclic antidepressants. Some of these compounds are used as anti-obesity agents and have shown promise in the treatment of cerebral function disorders such as Parkinson's disease and senile dementia. See, e.g., WO 94/00047 and WO 94/00114. The nontricyclic compound venlafaxine, chemically named  $(\pm)$ -1-[2-(dimethylamino)-1-(4-methoxyphenyl)ethyl]-cyclohexanol, is an antidepressant which has been studied extensively and which is described in, for example, U.S. Patent No. 4,761,501 and Pento, J.T. Drugs of the Future 13(9):839-840 (1988). Its hydrochloride salt is currently commercially available in the United States under the trade name Effexor®. Effexor®, which is a racemic mixture of the (+) and (-) enantiomers of venlafaxine, is indicated for the treatment of depression.
- 20               Although venlafaxine contains an asymmetric carbon atom and is sold as a racemate, it has been reported that its (-) enantiomer is a more potent inhibitor of norepinephrine synaptosomal uptake while its (+) enantiomer is more selective in inhibiting serotonin uptake. Howell, S.R. et al. Xenobiotica 24(4):315-327 (1994). Furthermore, studies have shown that the ratio of the two isomers' metabolism varies not only among species, but between subjects as well. Klamers, K.J. et al. J. Clin. Pharmacol. 32:716-724 (1992). In humans, venlafaxine is transformed by a saturable metabolic pathway into two minor metabolites, N-desmethylvenlafaxine and N,O-didesmethylvenlafaxine, and one major metabolite, O-desmethylvenlafaxine, as shown in Scheme I(a):

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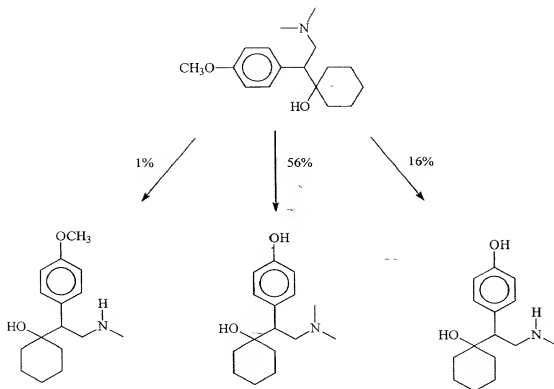
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Scheme I(a)

Klamerus, K.J. et al. J. Clin. Pharmacol. 32:716-724 (1992). *In vitro* studies suggest that O-desmethylenlafaxine is a more potent inhibitor of norepinephrine and dopamine uptake than the parent compound venlafaxine. Muth, E.A. et al. Drug Develop. Res. 23:191-199 (1991). O-desmethylenlafaxine has also been reported to have a half-life ( $t_{1/2}$ ) of about 10 hours, which is approximately 2.5 times as long as that of venlafaxine. Klamerus, K.J. et al. J. Clin. Pharmacol. 32:716-724 (1992). Studies directed at understanding the activity of O-desmethylenlafaxine as compared to its parent have been hampered, however, by the metabolic difference between laboratory animals and man in their exposure to venlafaxine. Howell, S.R. et al. Xenobiotica 24(4):315-327 (1994).

Despite the benefits of venlafaxine, it has adverse effects including, but not limited to, sustained hypertension, headache, asthenia, sweating, nausea, constipation, somnolence, dry mouth, dizziness, insomnia, nervousness, anxiety, blurred or blurry vision, and abnormal ejaculation/orgasm or impotence in males. Physicians' Desk Reference pp. 3293-3302 (53<sup>rd</sup> ed., 1999); see also Sinclair, J. et al. Rev. Contemp. Pharmacother. 9:333-344 (1998). These adverse effects can significantly limit the dose level, frequency, and duration of drug therapy. It would thus be desirable to find a compound with the advantages of venlafaxine while avoiding its disadvantages.

### 3. SUMMARY OF THE INVENTION

This invention relates to novel pharmaceutical compositions comprising derivatives of venlafaxine such as ( $\pm$ )-O-desmethylvenlafaxine. The invention also relates to methods of preparing derivatives of venlafaxine with high purity and in high yield, and to methods of treating and preventing diseases and disorders which comprise the administration of one or more derivatives of venlafaxine to a human in need of such treatment or prevention.

Methods and compositions of the invention can be used to treat or prevent depression and affective disorders such as, but not limited to, attention deficit disorder and attention deficit disorder with hyperactivity. Methods and compositions of the invention are also useful in treating obesity and weight gain in a human. The invention also encompasses the treatment of cerebral function disorders including, but not limited to, senile dementia, Parkinson's disease, epilepsy, Alzheimer's disease, amnesia/amnesic syndrome, autism and schizophrenia; disorders ameliorated by inhibition of neuronal monamine reuptake; and pain, particularly chronic pain. The invention further encompasses the treatment or prevention of obsessive-compulsive disorder, substance abuse, pre-menstrual syndrome, anxiety, eating disorders and migraines. The invention finally encompasses the treatment or prevention of incontinence in humans.

The compounds and compositions of the invention possess potent activity for treating or preventing the above-described disorders while reducing or avoiding adverse effects including, but not limited to, sustained hypertension, headache, asthenia, sweating, nausea, constipation, somnolence, dry mouth, dizziness, insomnia, nervousness, anxiety, blurred or blurry vision, and abnormal ejaculation/orgasm or impotence in males. In particular, adverse effects associated with the administration of racemic venlafaxine are reduced or avoided by the use of derivatives of venlafaxine. Compositions of the invention can also exhibit long half lives as compared to racemic venlafaxine.

Although a variety of pharmaceutical salts, solvates, clathrates and/or hydrates (including anhydrous forms) of the active ingredients disclosed herein are suitable for use in the methods and compositions of the invention, the derivatives of venlafaxine are typically prepared as hydrochloride salts, and preferably as the monohydrates.

#### 3.1. DEFINITIONS

As used herein, the terms "venlafaxine" and "( $\pm$ )-venlafaxine" mean the racemic compound ( $\pm$ )-1-[2-(dimethylamino)-1-(4-methoxyphenyl)ethyl]cyclohexanol.

As used herein, the terms "venlafaxine derivative" and "derivative of venlafaxine" encompass, but are not limited to, human metabolites of racemic venlafaxine. In particular, the terms "venlafaxine derivative" and "derivative of venlafaxine" mean a compound selected from the group that includes, but is not limited to:

(±)-N-desmethylvenlafaxine, chemically named (±)-1-[2-(methylamino)-1-(4-methoxyphenyl)ethyl]cyclohexanol; (±)-N,N-didesmethylvenlafaxine, chemically named (±)-1-[2-(amino)-1-(4-methoxyphenyl)ethyl]cyclohexanol; (±)-O-desmethylvenlafaxine, chemically named (±)-1-[2-(dimethylamino)-1-(4-phenol)ethyl]cyclohexanol; (±)-N,O-didesmethylvenlafaxine, chemically named (±)-1-[2-(methylamino)-1-(4-phenol)ethyl]cyclohexanol; and (±)-O-desmethyl-N,N-didesmethylvenlafaxine, chemically named (±)-1-[2-(amino)-1-(4-phenol)ethyl]cyclohexanol.

As used herein, the term "pharmaceutically acceptable salts" refers to salts prepared from pharmaceutically acceptable non-toxic acids, including inorganic acids and organic acids. Suitable non-toxic acids include inorganic and organic acids such as acetic, benzenesulfonic, benzoic, camphorsulfonic, citric, ethenesulfonic, fumaric, gluconic, glutamic, hydrobromic, hydrochloric, isethionic, lactic, maleic, malic, mandelic, methanesulfonic, mucic, nitric, pamoic, pantothenic, phosphoric, succinic, sulfuric, tartaric acid, p-toluenesulfonic and the like. Particularly preferred are hydrochloric, hydrobromic, phosphoric, and sulfuric acids, and most particularly preferred is the hydrochloride salt.

As used herein, the term "affective disorder" includes depression, attention deficit disorder, attention deficit disorder with hyperactivity, bipolar and manic conditions, and the like. The terms "attention deficit disorder" (ADD) and "attention deficit disorder with hyperactivity" (ADHD), or attention deficit/hyperactivity disorder (AD/HD), are used herein in accordance with the accepted meanings as found in the Diagnostic and Statistical Manual of Mental Disorders, 4<sup>th</sup> Ed., American Psychiatric Association (1997) (DSM-IV™).

As used herein, the term "a method of treating depression" means relief from the symptoms of depression which include, but are not limited to, changes in mood, feelings of intense sadness, despair, mental slowing, loss of concentration, pessimistic worry, agitation, and self-deprecation. Physical changes may also be relieved, including insomnia, anorexia, weight loss, decreased energy and libido, and abnormal hormonal circadian rhythms.

As used herein, the term "a method for treating obesity or weight gain" means reduction of weight, relief from being overweight, relief from gaining weight, or relief from obesity; all of which are usually due to extensive consumption of food.

As used herein, the term "a method of treating disorders ameliorated by inhibition of neuronal monoamine reuptake" means relief from symptoms of disease states associated with abnormal neuronal monoamine levels; such symptoms are reduced by way of neuronal monoamine reuptake inhibition. Monoamines, the reuptake of which are inhibited by the compounds or compositions of the present invention, include, but are not limited to, noradrenaline (or norepinephrine), serotonin and dopamine. Disorders treated by

neuronal monoamine reuptake inhibition include, but are not limited to, Parkinson's disease and epilepsy.

As used herein, the term "method of treating Parkinson's disease" means relief from the symptoms of Parkinson's disease which include, but are not limited to, slowly increasing disability in purposeful movement, tremors, bradykinesia, rigidity, and a disturbance of posture in humans.

As used herein, the term "a method for treating cerebral function disorders" means relief from the disease states associated with cerebral function disorders involving intellectual deficits which include but are not limited to, senile dementia, Alzheimer's type dementia, memory loss, amnesia/amnesic syndrome, disturbances of consciousness, coma, lowering of attention, speech disorders, Parkinson's disease, Lennox syndrome, autism, hyperkinetic syndrome and schizophrenia. Also within the meaning of cerebral function disorders are disorders caused by cerebrovascular diseases including, but not limited to, cerebral infarction, cerebral bleeding, cerebral arteriosclerosis, cerebral venous thrombosis, head injuries, and the like where symptoms include disturbances of consciousness, senile dementia, coma, lowering of attention, speech disorders, and the like.

The terms "obsessive-compulsive disorder," "substance abuse," "pre-menstrual syndrome," "anxiety," "eating disorders" and "migraine" are used herein in a manner consistent with their accepted meanings in the art. See, e.g., DSM-IV™. The terms "method of treating or preventing," "method of treating" and "method of preventing" when used in connection with these disorders mean the amelioration, prevention or relief from the symptoms and/or effects associated with these disorders. Without being limited by any theory, the treatment or prevention of certain of these disorders may be related to the activity of the active ingredient(s) as inhibitors of serotonin uptake.

As used herein, the term "a method of treating or preventing incontinence" means prevention of or relief from the symptoms of incontinence including involuntary voiding of feces or urine, and dribbling or leakage or feces or urine which may be due to one or more causes including but not limited to pathology altering sphincter control, loss of cognitive function, overdistention of the bladder, hyper-reflexia and/or involuntary urethral relaxation, weakness of the muscles associated with the bladder or neurologic abnormalities.

#### **4. DETAILED DESCRIPTION OF THE INVENTION**

This invention relates to derivatives of venlafaxine such as, but not limited to, (±)-O-desmethylvenlafaxine, (±)-N-desmethylvenlafaxine, and (±)-N,O-didesmethylvenlafaxine. This invention further relates to the synthesis of venlafaxine derivatives and to compositions (e.g., pharmaceutical compositions) comprising them. The invention also relates to novel uses of the compounds disclosed herein, which

constitute improvements over the use of racemic venlafaxine as well as over the optically pure isomers of venlafaxine.

One embodiment of the invention encompasses a method of treating an affective disorder in a human which comprises administering to a human in need of such treatment a therapeutically effective amount of a venlafaxine derivative, preferably (±)-O-desmethylvenlafaxine, or a pharmaceutically acceptable salt, solvate, or clathrate thereof. Venlafaxine derivatives, preferably (±)-O-desmethylvenlafaxine, can be used to treat an affective disorder while exhibiting a longer half life than venlafaxine and/or while avoiding or reducing adverse effects that are associated with the administration of venlafaxine.

Another embodiment of the invention encompasses a method of treating weight gain or obesity in a human which comprises administering to a human in need of weight loss or obesity therapy a therapeutically effective amount of a venlafaxine derivative, preferably (±)-O-desmethylvenlafaxine, or a pharmaceutically acceptable salt, solvate, or clathrate thereof, said amount being sufficient to reduce or prevent weight gain or obesity. Venlafaxine derivatives, preferably (±)-O-desmethylvenlafaxine, can be used to treat weight gain or obesity disorder while exhibiting a longer half life than venlafaxine and/or while avoiding or reducing adverse effects that are associated with the administration of venlafaxine.

Another embodiment of the invention encompasses a method of treating disorders ameliorated by neuronal monoamine reuptake inhibition in a human which comprises administering to a human a therapeutically effective amount of a venlafaxine derivative, preferably (±)-O-desmethylvenlafaxine, or a pharmaceutically acceptable salt, solvate, or clathrate thereof, said amount being sufficient to treat such disorders. Disorders which are ameliorated by neuronal monoamine reuptake include, but are not limited to, Parkinson's disease, epilepsy, and depression. The derivative of venlafaxine may be used to treat such disorders while avoiding or reducing adverse effects associated with the administration of venlafaxine.

Venlafaxine derivatives, preferably (±)-O-desmethylvenlafaxine, and compositions containing them are also useful in treating cerebral function disorders. Such disorders include, but are not limited to, senile dementia, Alzheimer's type dementia, memory loss, amnesia/amnesic syndrome, disturbance of consciousness, coma, lowering of attention, speech disorders, Parkinson's disease, Lennox syndrome, autism, hyperkinetic syndrome and schizophrenia. Cerebral function disorders may be induced by factors including, but not limited to, cerebrovascular diseases such as cerebral infarction, cerebral bleeding, cerebral arteriosclerosis, cerebral venous thrombosis, head injuries and the like and where symptoms include disturbances of consciousness, senile dementia, coma, lowering of attention, speech disorders and the like. Thus, the invention encompasses a

method of treating cerebral function disorder in a human which comprises administering to a human in need of such therapy a therapeutically effective amount of venlafaxine derivative, preferably ( $\pm$ )-O-desmethylvenlafaxine, or a pharmaceutically acceptable salt, solvate, or clathrate thereof. The use of a venlafaxine derivative, preferably

- 5 ( $\pm$ )-O-desmethylvenlafaxine, is intended to provide an improvement over the use of the parent drug venlafaxine. The derivatives of the invention are more potent and yet provide an overall improved therapeutic index over venlafaxine.

- Another embodiment of the invention encompasses a method of treating pain, including chronic pain, in a human which comprises administering to a human in need  
10 of such therapy a therapeutically effective amount of venlafaxine derivative, preferably ( $\pm$ )-O-desmethylvenlafaxine, or a pharmaceutically acceptable salt, solvate, or clathrate thereof, said amount being sufficient to alleviate the human's pain.

- Another embodiment of the invention encompasses a method of treating an obsessive-compulsive disorder in a human, which comprises administering to a human in  
15 need of such therapy a therapeutically effective amount of a venlafaxine derivative, preferably ( $\pm$ )-O-desmethylvenlafaxine, or a pharmaceutically acceptable salt, solvate, or clathrate thereof.

- Another embodiment of the invention encompasses a method of treating or preventing substance abuse in a human, which comprises administering to a human in need  
20 of such therapy a therapeutically effective amount of a venlafaxine derivative, preferably ( $\pm$ )-O-desmethylvenlafaxine, or a pharmaceutically acceptable salt, solvate, or clathrate thereof.

- Another embodiment of the invention encompasses a method of treating or preventing pre-menstrual syndrome in a human, which comprises administering to a human  
25 in need of such therapy a therapeutically effective amount of a venlafaxine derivative, preferably ( $\pm$ )-O-desmethylvenlafaxine, or a pharmaceutically acceptable salt, solvate, or clathrate thereof.

- Another embodiment of the invention encompasses a method of treating anxiety in a human, which comprises administering to a human in need of such therapy a  
30 therapeutically effective amount of a venlafaxine derivative, preferably ( $\pm$ )-O-desmethylvenlafaxine, or a pharmaceutically acceptable salt, solvate, or clathrate thereof.

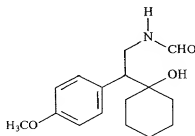
- Another embodiment of the invention encompasses a method of treating an eating disorder in a human, which comprises administering to a human in need of such  
35 therapy a therapeutically effective amount of a venlafaxine derivative, preferably ( $\pm$ )-O-desmethylvenlafaxine, or a pharmaceutically acceptable salt, solvate, or clathrate thereof.



Another embodiment of the invention encompasses a method of treating or preventing a migraine, or migraine headaches, in a human, which comprises administering to a human in need of such therapy a therapeutically effective amount of a venlafaxine derivative, preferably ( $\pm$ )-O-desmethylvenlafaxine, or a pharmaceutically acceptable salt, solvate, or clathrate thereof.

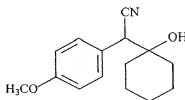
Another embodiment of the invention encompasses a method of treating or preventing incontinence in a human which comprises administering to a human in need of such therapy a therapeutically effective amount of a venlafaxine derivative, preferably ( $\pm$ )-O-desmethylvenlafaxine, or a pharmaceutically acceptable salt, solvate, or clathrate thereof. In particular, a venlafaxine derivative can be used to treat fecal incontinence, stress urinary incontinence ("SUI"), urinary exertional incontinence, urge incontinence, reflex incontinence, passive incontinence and overflow incontinence. In a preferred embodiments the human is an elder person of an age greater than 50 or a child of an age less than 13. Further, the invention encompasses the treatment of incontinence in patients with either loss of cognitive function, sphincter control or both. The invention is particularly well suited for the treatment or prevention of fecal incontinence and stress urinary incontinence.

Another embodiment of the invention encompasses a method of preparing ( $\pm$ )-N-desmethylvenlafaxine which comprises contacting a compound of Formula 5:



with a reductant for a time and at a temperature sufficient to form ( $\pm$ )-N-desmethylvenlafaxine. A preferred reductant is BH<sub>3</sub>·Me<sub>2</sub>S.

Another embodiment of the invention encompasses a method of preparing ( $\pm$ )-N,N-didesmethylvenlafaxine which comprises contacting a compound of Formula 2:



with a reductant for a time and at a temperature sufficient to form ( $\pm$ )-N,N-didesmethylvenlafaxine. A preferred reductant is CoCl<sub>2</sub>/NaBH<sub>4</sub>.

Another embodiment of the invention encompasses a method of preparing ( $\pm$ )-O-desmethylvenlafaxine which comprises contacting venlafaxine with lithium diphenylphosphide for a time and at a temperature sufficient to form ( $\pm$ )-O-desmethylvenlafaxine.

- 5 Another embodiment of the invention encompasses substantially pure ( $\pm$ )-O-desmethylvenlafaxine and pharmaceutically acceptable salts, solvates, and clathrates thereof.

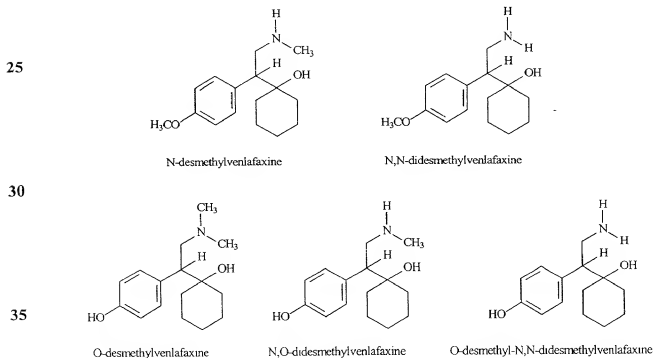
- 10 Another embodiment of the invention encompasses substantially pure ( $\pm$ )-N,O-didesmethylvenlafaxine and pharmaceutically acceptable salts, solvates, and clathrates thereof.

Another embodiment of the invention encompasses substantially pure ( $\pm$ )-O-desmethyl-N,N-didesmethylvenlafaxine and pharmaceutically acceptable salts, solvates, and clathrates thereof.

- 15 Another embodiment of the invention encompasses ( $\pm$ )-N-desmethylvenlafaxine and pharmaceutically acceptable salts, solvates, and clathrates thereof.

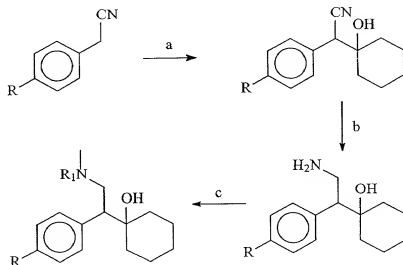
A final embodiment of the invention encompasses ( $\pm$ )-N,N-didesmethylvenlafaxine and pharmaceutically acceptable salts, solvates, and clathrates thereof.

- 20 Compounds of the invention, which can be used and prepared as described herein, are shown below in Scheme I(b):



Scheme I(b)

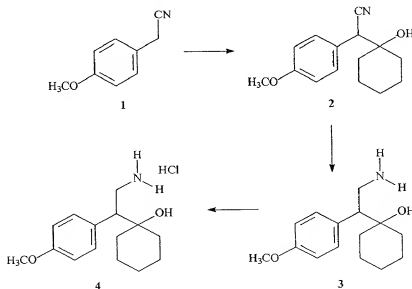
The synthesis of some venlafaxine derivatives has been described by Yardley, J.P. et al. *J. Med. Chem.* 33:2899-2905 (1990), the disclosure of which is hereby incorporated by reference. This method, which may be adapted for the synthesis of the compounds of this invention, is shown in Scheme II:



Scheme II

wherein R is methoxy or hydroxy, R<sub>1</sub> is hydrogen or methyl, and the reaction conditions are as follows: (a) LDA in cycloalkanone at -78°C; (b) Rh/Al<sub>2</sub>O<sub>3</sub>; and (c) HCHO, HCOOH, H<sub>2</sub>O, reflux. The final product yielded by step (c) may be isolated by any method known to those skilled in the art, including high performance liquid chromatography (HPLC). As used herein, the term "isolate" encompasses the isolation of a compound from a reaction mixture and the purification of the compound.

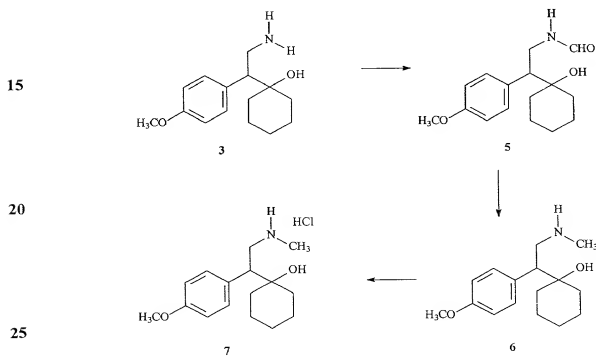
In a preferred method of the invention, (±)-N,N-didesmethylvenlafaxine is prepared according to the method shown in Scheme III:



Scheme III

According to this method, cyclohexanone is reacted with compound 1 to provide compound 2. This reaction is preferably done in the presence of a catalyst such as, but not limited to, lithium diisopropylamide (LDA), and in an aprotic solvent such as, but not limited to, THF. The cyano group of compound 2 is subsequently contacted with a reductant to provide compound 3, ( $\pm$ )-N,N-didesmethylvenlafaxine. A preferred reductant is  $\text{CoCl}_2/\text{NaBH}_4$  in methanol, although other reductants known to those skilled in the art can also be used. Salts of ( $\pm$ )-N,N-didesmethylvenlafaxine, such as the HCl salt (compound 4), can then be formed using reaction conditions well known in the art.

In another preferred method of the invention, ( $\pm$ )-N-desmethylvenlafaxine is prepared from ( $\pm$ )-N,N-didesmethylvenlafaxine according to the method shown in Scheme IV:

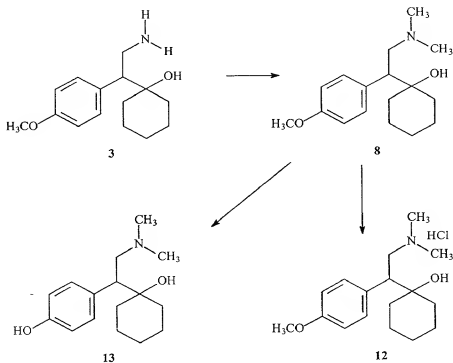


Scheme IV

According to this method, ( $\pm$ )-N,N-didesmethylvenlafaxine (compound 3) is converted to compound 5 using, for example,  $\text{HCO}_2\text{H}$  in a solvent such as, but not limited to, toluene. The aldehyde of compound 5 is subsequently reduced to provide compound 6, ( $\pm$ )-N-desmethylvenlafaxine. A preferred reductant is  $\text{BH}_3 \cdot \text{Me}_2\text{S}$  in an aprotic solvent such as, but not limited to, THF. Salts of ( $\pm$ )-N-desmethylvenlafaxine, such as the HCl salt (compound 7), can then be formed using reaction conditions well known in the art.

It is also possible to prepare the compounds of the invention from racemic venlafaxine, which can be prepared according to methods disclosed, for example, by U.S. Patent No. 4,761,501 and Pento, J.T. Drugs of the Future 13(9):839-840 (1988), both of which are incorporated herein by reference.

Alternative methods of preparing (±)-venlafaxine·HCl and (±)-O-desmethylvenlafaxine are shown in Scheme V:



Scheme V

According to Scheme V, (±)-venlafaxine (compound 8) is prepared by reacting (±)-N,N-didesmethylvenlafaxine (compound 3) with, for example, HCHO/HCO<sub>2</sub>H. Compound 8 can then be converted to (±)-O-desmethylvenlafaxine (compound 13) using, for example, lithium diphenylphosphide. Alternatively, salts of (±)-venlafaxine, such as the HCl salt (compound 12), can be formed using reaction conditions well known in the art.

Utilizing derivatives of venlafaxine in the treatment and/or mitigation of the conditions described herein results in clearer dose-related definitions of efficacy, diminished adverse effects, and accordingly an improved therapeutic index as compared to venlafaxine itself.

The magnitude of a prophylactic or therapeutic dose of a venlafaxine derivative (herein also referred to as an "active ingredient"), preferably (±)-O-desmethylvenlafaxine, in the acute or chronic management of a disease will vary with the severity of the condition to be treated and the route of administration. The dose, and perhaps the dose frequency, will also vary according to age, body weight, response, and the past medical history of the individual patient. In general, the recommended daily dose range for the conditions described herein lie within the range of from about 10 mg to about 1000 mg per day, given as a single once-a-day dose in the morning but preferably as divided doses throughout the day taken with food. Preferably, a daily dose range should be

from about 50 mg to about 500 mg per day, more preferably, between about 75 mg and about 350 mg per day. In managing the patient, the therapy should be initiated at a lower dose, perhaps about 50 mg to about 75 mg, and increased if necessary up to about 250 mg to about 325 mg per day as either a single dose or divided doses, depending on the patient's global response. If a dosage is increased, it is preferably done in intervals of about 75 mg separated by at least 4 days.

Because elimination of venlafaxine derivatives from the bloodstream is dependant on renal and liver function, it is recommended that the total daily dose be reduced by at least 50% in patients with moderate hepatic impairment, and that it be reduced by 25% in patients with mild to moderate renal impairment. For patients undergoing hemodialysis, it is recommended that the total daily dose be reduced by 5% and that the dose be withheld until the dialysis treatment is completed. Because some adverse reactions have been reported for patients who took venlafaxine concurrently with, or shortly after, a monamine oxidase inhibitor, it is recommended that the venlafaxine derivatives of this invention not be administered to patients currently taking such inhibitors. In general, the concurrent administration of the compounds of this invention with other drugs, particularly other serotonin uptake inhibitors, should be done with care. See, e.g., von Moltke, L.L. et al. Biol. Psychiatry 41:377-380 (1997); and Sinclair, J. et al. Rev. Contemp. Pharmacother. 9:333-344 (1998).

The various terms "said amount being sufficient to alleviate the affective disorder," "said amount being sufficient to alleviate depression," "said amount being sufficient to alleviate attention deficit disorder," "said amount being sufficient to alleviate an obsessive-compulsive disorder," "said amount being sufficient to prevent or alleviate substance abuse", "said amount being sufficient to prevent or alleviate pre-menstrual syndrome", "said amount being sufficient to prevent or alleviate anxiety", "said amount being sufficient to prevent or alleviate an eating disorder", "said amount being sufficient to prevent or alleviate or prevent migraine", "said amount being sufficient to alleviate Parkinson's disease," "said amount being sufficient to alleviate epilepsy," "said amount being sufficient to alleviate obesity or weight gain," "an amount sufficient to achieve weight loss," "said amount being sufficient to bring about weight reduction in a human," "said amount being sufficient to alleviate pain," "said amount being sufficient to alleviate dementia," "said amount sufficient to alleviate said disorders ameliorated by inhibition of neuronal monoamine reuptake," "said amount is sufficient to alleviate cerebral function disorders" wherein said disorders are selected from the group consisting of senile dementia, Alzheimer's type dementia, memory loss, amnesia/amnesic syndrome, disturbance of consciousness, coma, lowering of attention, speech disorders, Parkinson's disease, Lennox syndrome, autism, hyperkinetic syndrome, schizophrenia, and cerebrovascular diseases, such as cerebral infarction, cerebral bleeding, cerebral arteriosclerosis, cerebral venous

thrombosis, head injuries, and the like, "said amount being sufficient to treat or prevent incontinence" wherein said incontinence includes but is not limited to fecal, stress, urinary, urinary exertional, urge, reflex, passive and overflow incontinence, are encompassed by the above described dosage amounts and dose frequency schedule. Similarly, amounts

5 sufficient to alleviate each of the above disorders but insufficient to cause adverse effects associated with venlafaxine are also encompassed by the above described dosage amounts and dose frequency schedule.

Any suitable route of administration can be employed for providing the patient with a therapeutically or prophylactically effective dose of an active ingredient. For

10 example, oral, mucosal (*e.g.*, nasal, sublingual, buccal, rectal, vaginal), parenteral (*e.g.*, intravenous, intramuscular), transdermal, and subcutaneous routes can be employed. Preferred routes of administration include oral, transdermal, and mucosal. Suitable dosage forms for such routes include, but are not limited to, transdermal patches, ophthalmic solutions, sprays, and aerosols. Transdermal compositions can also take the form of

15 creams, lotions, and/or emulsions, which can be included in an appropriate adhesive for application to the skin or can be included in a transdermal patch of the matrix or reservoir type as are conventional in the art for this purpose. A preferred transdermal dosage form is a "reservoir type" or "matrix type" patch, which is applied to the skin and worn for a specific period of time to permit the penetration of a desired amount of active ingredient.

20 The patch can be replaced with a fresh patch when necessary to provide constant administration of the active ingredient to the patient.

Other dosage forms of the invention include, but are not limited to, tablets, caplets, troches, lozenges, dispersions, suspensions, suppositories, ointments, cataplasms (poultices), pastes, powders, dressings, creams, plasters, solutions, capsules, soft elastic

25 gelatin capsules, and patches.

In practical use, an active ingredient can be combined in an intimate admixture with a pharmaceutical carrier according to conventional pharmaceutical compounding techniques. The carrier can take a wide variety of forms depending on the form of preparation desired for administration. In preparing the compositions for an oral

30 dosage form, any of the usual pharmaceutical media can be employed as carriers, such as, for example, water, glycols, oils, alcohols, flavoring agents, preservatives, coloring agents, and the like in the case of oral liquid preparations (such as suspensions, solutions, and elixirs) or aerosols; or carriers such as starches, sugars, micro-crystalline cellulose, diluents, granulating agents, lubricants, binders, and disintegrating agents can be used in the case of

35 oral solid preparations, preferably without employing the use of lactose. For example, suitable carriers include powders, capsules, and tablets, with the solid oral preparations being preferred over the liquid preparations.

Because of their ease of administration, tablets and capsules represent the most advantageous oral dosage unit forms, in which case solid pharmaceutical carriers are employed. If desired, tablets can be coated by standard aqueous or nonaqueous techniques.

In addition to the common dosage forms set out above, an active ingredient  
5 can also be administered by controlled release means or delivery devices that are well known to those of ordinary skill in the art, such as those described in U.S. Patent Nos.: 3,845,770; 3,916,899; 3,536,809; 3,598,123; and 4,008,719, 5,674,533, 5,059,595, 5,591,767, 5,120,548, 5,073,543, 5,639,476, 5,354,556, and 5,733,566, the disclosures of which are incorporated herein by reference. These dosage forms can be used to provide  
10 slow or controlled-release of one or more active ingredients using, for example, hydropropylmethyl cellulose, other polymer matrices, gels, permeable membranes, osmotic systems, multilayer coatings, microparticles, liposomes, or microspheres or a combination thereof to provide the desired release profile in varying proportions. Suitable controlled-release formulations known to those of ordinary skill in the art, including those described  
15 herein, can be readily selected for use with the pharmaceutical compositions of the invention. The invention thus encompasses single unit dosage forms suitable for oral administration such as, but not limited to, tablets, capsules, gelcaps, and caplets that are adapted for controlled-release.

All controlled-release pharmaceutical products have a common goal of  
20 improving drug therapy over that achieved by their non-controlled counterparts. Ideally, the use of an optimally designed controlled-release preparation in medical treatment is characterized by a minimum of drug substance being employed to cure or control the condition in a minimum amount of time. Advantages of controlled-release formulations include: 1) extended activity of the drug; 2) reduced dosage frequency; and 3) increased  
25 patient compliance. In addition, controlled-release formulations can be used to affect the time of onset of action or other characteristics, such as blood levels of the drug, and thus can affect the occurrence of side effects.

Most controlled-release formulations are designed to initially release an amount of drug that promptly produces the desired therapeutic effect, and to gradually and  
30 continually release of other amounts of drug to maintain this level of therapeutic effect over an extended period of time. In order to maintain this constant level of drug in the body, the drug must be released from the dosage form at a rate that will replace the amount of drug being metabolized and excreted from the body. Controlled-release of an active ingredient can be stimulated by various inducers, including, but not limited to, pH, temperature,  
35 enzymes, water, or other physiological conditions or compounds.

Pharmaceutical compositions of the invention suitable for oral administration can be presented as discrete dosage forms, such as capsules, cachets, or tablets, or aerosol sprays each containing a predetermined amount of an active ingredient as a powder or in



granules, a solution, or a suspension in an aqueous or non-aqueous liquid, an oil-in-water emulsion, or a water-in-oil liquid emulsion. Such dosage forms can be prepared by any of the methods of pharmacy, but all methods include the step of bringing the active ingredient into association with the carrier, which constitutes one or more necessary ingredients. In  
5 general, the compositions are prepared by uniformly and intimately admixing the active ingredient with liquid carriers or finely divided solid carriers or both, and then, if necessary, shaping the product into the desired presentation.

For example, a tablet can be prepared by compression or molding, optionally with one or more accessory ingredients. Compressed tablets can be prepared by  
10 compressing in a suitable machine the active ingredient in a free-flowing form such as powder or granules, optionally mixed with an excipient such as, but not limited to, a binder, a lubricant, an inert diluent, and/or a surface active or dispersing agent. Molded tablets can be made by molding in a suitable machine a mixture of the powdered compound moistened with an inert liquid diluent.

This invention further encompasses lactose-free pharmaceutical compositions and dosage forms. Lactose is used as an excipient in venlafaxine formulations. See, e.g., *Physician's Desk Reference*® 3294 (53<sup>rd</sup> ed., 1999). Unlike the parent drug, however, N-demethylated derivatives of venlafaxine (e.g., ( $\pm$ )-N-desmethyivenlafaxine and ( $\pm$ )-N,N-didesmethyivenlafaxine), are secondary or primary  
20 amines and may thus decompose over time when exposed to lactose. Consequently, compositions of the invention that comprise N-demethylated derivatives of venlafaxine preferably contain little, if any, lactose or other mono- or di-saccharides. As used herein, the term "lactose-free" means that the amount of lactose present, if any, is insufficient to substantially increase the degradation rate of an active ingredient.

Lactose-free compositions of the invention can comprise excipients which are well known in the art and are listed in the USP (XXI)/NF (XVI), which is incorporated herein by reference. In general, lactose-free compositions comprise an active ingredient, a binder/filler, and a lubricant in pharmaceutically compatible and pharmaceutically acceptable amounts. Preferred lactose-free dosage forms comprise an active ingredient,  
30 microcrystalline cellulose, pre-gelatinized starch, and magnesium stearate.

This invention further encompasses anhydrous pharmaceutical compositions and dosage forms comprising an active ingredient, since water can facilitate the degradation of some compounds. For example, the addition of water (e.g., 5%) is widely accepted in the pharmaceutical arts as a means of simulating long-term storage in order to determine  
35 characteristics such as shelf-life or the stability of formulations over time. See, e.g., Jens T. Carstensen, *Drug Stability: Principles & Practice*, 2d. Ed., Marcel Dekker, NY, NY, 1995, pp. 379-80. In effect, water and heat accelerate decomposition. Thus the effect of water on a formulation can be of great significance since moisture and/or humidity are commonly

encountered during manufacture, handling, packaging, storage, shipment, and use of formulations.

Anhydrous pharmaceutical compositions and dosage forms of the invention can be prepared using anhydrous or low moisture containing ingredients and low moisture or low humidity conditions. Pharmaceutical compositions and dosage forms of the invention which contain lactose are preferably anhydrous if substantial contact with moisture and/or humidity during manufacturing, packaging, and/or storage is expected.

An anhydrous pharmaceutical composition should be prepared and stored such that its anhydrous nature is maintained. Accordingly, anhydrous compositions are preferably packaged using materials known to prevent exposure to water such that they can be included in suitable formulary kits. Examples of suitable packaging include, but are not limited to, hermetically sealed foils, plastic or the like, unit dose containers, blister packs, and strip packs.

In this regard, the invention encompasses a method of preparing a solid pharmaceutical formulation comprising an active ingredient which method comprises admixing under anhydrous or low moisture/humidity conditions the active ingredient and an excipient (*e.g.*, lactose), wherein the ingredients are substantially free of water. The method can further comprise packaging the anhydrous or non-hygroscopic solid formulation under low moisture conditions. By using such conditions, the risk of contact with water is reduced and the degradation of the active ingredient can be prevented or substantially reduced.

Binders suitable for use in pharmaceutical compositions and dosage forms include, but are not limited to, corn starch, potato starch, or other starches, gelatin, natural and synthetic gums such as acacia, sodium alginate, alginic acid, other alginates, powdered tragacanth, guar gum, cellulose and its derivatives (*e.g.*, ethyl cellulose, cellulose acetate, carboxymethyl cellulose calcium, sodium carboxymethyl cellulose), polyvinyl pyrrolidone, methyl cellulose, pre-gelatinized starch, hydroxypropyl methyl cellulose, (*e.g.*, Nos. 2208, 2906, 2910), microcrystalline cellulose, and mixtures thereof.

Suitable forms of microcrystalline cellulose include, for example, the materials sold as AVICEL-PH-101, AVICEL-PH-103 AVICEL RC-581, and AVICEL-PH-105 (available from FMC Corporation, American Viscose Division, Avicel Sales, Marcus Hook, PA, U.S.A.). An exemplary suitable binder is a mixture of microcrystalline cellulose and sodium carboxymethyl cellulose sold as AVICEL RC-581. Suitable anhydrous or low moisture excipients or additives include AVICEL-PH-103™ and Starch 1500 LM.

Examples of suitable fillers for use in the pharmaceutical compositions and dosage forms disclosed herein include, but are not limited to, talc, calcium carbonate (*e.g.*, granules or powder), microcrystalline cellulose, powdered cellulose, dextrates, kaolin, mannitol, silicic acid, sorbitol, starch, pre-gelatinized starch, and mixtures thereof. The

binder/filler in pharmaceutical compositions of the present invention is typically present in about 50 to about 99 weight percent of the pharmaceutical composition.

Disintegrants are used in the compositions of the invention to provide tablets that disintegrate when exposed to an aqueous environment. Too much of a disintegrant will produce tablets which may disintegrate in the bottle. Too little may be insufficient for disintegration to occur and may thus alter the rate and extent of release of the active ingredient(s) from the dosage form. Thus, a sufficient amount of disintegrant that is neither too little nor too much to detrimentally alter the release of the active ingredient(s) should be used to form the dosage forms of the compounds disclosed herein. The amount of disintegrant used varies based upon the type of formulation and mode of administration, and is readily discernible to those of ordinary skill in the art. Typically, about 0.5 to about 15 weight percent of disintegrant, preferably about 1 to about 5 weight percent of disintegrant, can be used in the pharmaceutical composition.

Disintegrants that can be used to form pharmaceutical compositions and dosage forms of the invention include, but are not limited to, agar-agar, alginic acid, calcium carbonate, microcrystalline cellulose, croscarmellose sodium, crospovidone, polacrilin potassium, sodium starch glycolate, potato or tapioca starch, other starches, pregelatinized starch, other starches, clays, other algin, other celluloses, gums or mixtures thereof.

Lubricants which can be used to form pharmaceutical compositions and dosage forms of the invention include, but are not limited to, calcium stearate, magnesium stearate, mineral oil, light mineral oil, glycerin, sorbitol, mannitol, polyethylene glycol, other glycols, stearic acid, sodium lauryl sulfate, talc, hydrogenated vegetable oil (e.g., peanut oil, cottonseed oil, sunflower oil, sesame oil, olive oil, corn oil, and soybean oil), zinc stearate, ethyl oleate, ethyl laureate, agar, or mixtures thereof. Additional lubricants include, for example, a syloid silica gel (AEROSIL 200, manufactured by W.R. Grace Co. of Baltimore, MD), a coagulated aerosol of synthetic silica (marketed by Degussa Co. of Plano, Texas), CAB-O-SIL (a pyrogenic silicon dioxide product sold by Cabot Co. of Boston, Mass), or mixtures thereof. A lubricant can optionally be added, typically in an amount of less than about 1 weight percent of the pharmaceutical composition.

Desirably, each tablet contains from about 25 mg to about 150 mg of the active ingredient and each cachet or capsule contains from about 25 mg to about 150 mg of the active ingredient. Most preferably, the tablet, cachet, or capsule contains either one of three dosages, e.g., about 25 mg, about 50 mg, or about 75 mg of active ingredient (as scored tablets, the preferable dose form).

The invention is further defined by reference to the following examples describing in detail the preparation of the compositions of the invention. It will be apparent

to those skilled in the art that many modifications, both to materials and methods, may be practiced without departing from the purpose and interest of this invention.

## 5. EXAMPLES

As discussed above, at least two different synthetic approaches may be utilized to obtain the compounds of this invention. A first is based upon the isolation of venlafaxine, followed by selective demethylation. In a second approach, the compounds are prepared directly.

### 5.1. EXAMPLE 1: Synthesis of Venlafaxine

#### 1-[cyano-(4-methoxyphenyl)methyl]cyclohexanol

A solution of 4-methoxybenzyl nitrile (53.5 g, 0.36 mol) in 400 mL THF was cooled to -78°C followed by slow addition of a 2.0 M THF solution of lithium diisopropylamide (200 mL, 0.40 mol) maintaining the reaction temperature below -65°C.

The reaction was stirred at -78°C for 30 minutes. Cyclohexanone (39.5 g, 0.40 mol) was added at a rate such that the reaction temperature did not rise above -65°C. After the addition reaction was stirred at -78°C for 2 hours, then was poured into 1 L saturated aqueous NH<sub>4</sub>Cl containing ice. The mixture was stirred for 15 minutes and was extracted with ethyl acetate (4 x 200 mL). Combined ethyl acetate layer was washed with water (3x100 mL), brine (1x100 mL) and dried (Na<sub>2</sub>SO<sub>4</sub>). Ethyl acetate was evaporated *in vacuo* to give colorless solid that was trichlorated with hexane. The precipitate was filtered, washed with hexane, dried *in vacuo* to give colorless solid (72.0 g, 80.7% yield). <sup>1</sup>H (CDCl<sub>3</sub>): 7.30 and 6.90 (q, 4H), 3.80 (s, 3H), 3.75 (s, 1H), 1.55 (m, 10 H); <sup>13</sup>C (CDCl<sub>3</sub>): 159.8, 130.8, 123.8, 120.0, 114.1, 72.9, 55.5, 49.5, 34.9, 25.3, 21.6.

#### 1-[2-amino-1-(4-methoxyphenyl)ethyl]cyclohexanol

A 3-L, three-neck flask equipped with a mechanical stirrer and a thermocouple was charged with 1-[cyano-(4-methoxyphenyl)methyl]cyclohexanol (40.0 g, 0.16 mol) and 1 L methanol. To the resulting stirred solution was added cobalt chloride (42.4 g, 0.32 mol) and the reaction was stirred until a clear dark blue solution was obtained. Sodium borohydride (62.0 g, 1.63 mol) was added in small lots maintaining the reaction temperature below 35°C. A dark black precipitate was formed along with vigorous evolution of gas as soon as sodium borohydride was added. After completion of addition the slurry was stirred at room temperature for 2 hours. TLC examination indicated complete disappearance of the starting material. The reaction was cooled in ice/water and 1 L 3N HCl was added slowly. Reaction temperature was maintained below 25°C. Reaction was stirred for 30 minutes after completion of the addition. Small amount of black precipitate was still observed. Methanol was removed *in vacuo* followed by extraction of

the aqueous layer with ethyl acetate (3 x 300 mL). The aqueous layer was cooled in ice/water and was basified (pH paper) by slow addition of concentrated  $\text{NH}_4\text{OH}$  (~600 mL). Reaction temperature was maintained below 25°C. Reaction was extracted with ethyl acetate (4 x 200 mL). Combined ethyl acetate layer was washed with water (3 x 100 mL), brine (1x100 mL), and dried ( $\text{Na}_2\text{SO}_4$ ). Ethyl acetate was evaporated *in vacuo* to give yellow gum (34.0 g, 83.6 % yield).  $^1\text{H}$  ( $\text{CDCl}_3$ ): 7.20 and 6.85 (q, 4H), 3.80 (s, 3H), 3.20 (m, 2H), 2.70 (t, 3H), 2.35 (br s, 3H), 1.40 (m, 10H);  $^{13}\text{C}$  ( $\text{CDCl}_3$ ): 158.4, 132.6, 130.6, 113.7, 73.7, 56.7, 55.3, 42.4, 37.3, 34.5, 26.0, 21.9.

10 (±)-Venlafaxine

1-[2-amino-1-(4-methoxyphenyl)ethyl]cyclohexanol (33.0 g, 0.13 mol) was dissolved in 88% formic acid (66.0 g, 55 mL, 1.43 mol) and water (330 mL) followed by addition of 37% aqueous formaldehyde (44.4 g, 41 mL, 1.48 mol). The resulting solution was refluxed for 20 hours, cooled to room temperature and was concentrated to 150 mL, adjusted to pH 2.0 with 3N HCl, and extracted with ethyl acetate (~6 x 50 mL) until pink impurity was removed. The aqueous layer was cooled in ice/water and was basified by slow addition of 50% NaOH. The aqueous layer was extracted with ethyl acetate (3 x 75 mL). Combined ethyl acetate layer was washed with water (3 x 25 mL), brine (1 x 25 mL) and dried ( $\text{Na}_2\text{SO}_4$ ). Ethyl acetate was evaporated *in vacuo* to give yellow gum that turned slowly in to pale yellow solid (34.0 g, 92.6 % yield).  $^1\text{H}$  ( $\text{CDCl}_3$ ): 7.05 and 6.80 (q, 4H), 3.80 (s, 3H), 3.30 (t, 1H), 2.95 (dd, 1H), 2.35 (s, 6H), 2.30 (dd, 1H), 1.30 (m, 10H);  $^{13}\text{C}$  ( $\text{CDCl}_3$ ): 158.4, 132.9, 130.3, 113.5, 74.4, 61.4, 55.3, 51.8, 45.6, 38.2, 31.3, 26.2, 21.8, 21.5. MS (277, M+).

25 (±)-Venlafaxine-HCl Salt

A solution of (±)-venlafaxine (1.0 g, 3.6 mmol) in 100 mL MTBE was cooled to 0°C and 2 mL of 15% HCl in MTBE was added to it. A colorless precipitate was formed. The reaction was stirred at 0°C for 10 minutes. Solid was filtered, washed with MTBE, dried *in vacuo* to give the product as colorless solid (0.700 g, 61.9 % yield).  $^1\text{H}$  ( $\text{CDCl}_3$ ): 11.40 (s, 1H), 7.15 and 6.85 (q, 4H), 4.05 (d, 1H), 3.80 (s, 3H), 3.35 (t, 1H), 3.20 (m, 2H), 2.80 (s, 3H), 2.60 (s, 3H), 1.30 (m, 10H);  $^{13}\text{C}$  ( $\text{CDCl}_3$ ): 159.0, 131.4, 130.3, 114.2, 73.7, 60.4, 55.4, 52.7, 45.3, 42.8, 36.7, 31.5, 25.5, 21.7, 21.3. MS (277, M+ for free base). % purity (HPLC): 99.62.

35 **5.2. EXAMPLE 2: Synthesis of (±)-O-desmethylvenlafaxine**

A solution of diphenylphosphine (3.0 g, 16.1 mmol) in 20 mL THF was cooled to -10°C followed by slow addition of a 1.6 M THF solution of n-BuLi (12.7 mL, 20.2 mmol) at a rate such that reaction temperature did not rise above 0°C. The reaction was stirred at 0°C for 30 minutes. A solution of (±)-venlafaxine (1.0g, 3.6 mmol) in 10 mL

THF was added slowly at 0°C. The reaction was stirred at 0°C for 15 minutes and allowed to warm to room temperature and stirred for 1 hour. It was then refluxed overnight. The reaction was cooled to room temperature and was poured slowly into 30 mL cold 3N HCl maintaining the temperature below 15°C. After stirring for 10 minutes, the aqueous layer was extracted with ethyl acetate (3 x 30 mL). The aqueous layer was adjusted to pH 6.8 - 6.9 by slow addition of solid NaHCO<sub>3</sub>. It was then saturated by adding NaCl and was extracted with ethyl acetate (6 x 30 mL). Combined ethyl acetate layer was dried (Na<sub>2</sub>SO<sub>4</sub>), ethyl acetate was evaporated *in vacuo* to give colorless solid. The solid was trichlorated with cold ethyl acetate, filtered, washed with cold ethyl acetate to give colorless solid (0.700 g, 73.8 % yield). <sup>1</sup>H (DMSO, d<sub>6</sub>): 9.30 (br s, 1H), 7.10 and 6.80 (q, 4H), 5.60 (br s, 1H), 3.15 (dd, 1H), 2.88 (t, 1H), 2.50 (dd, 1H), 2.30 (s, 6H), 1.35 (m, 10H); <sup>13</sup>C (DMSO, d<sub>6</sub>): 155.5, 131.7, 130.1, 114.4, 72.6, 60.4, 51.6, 45.3, 37.2, 32.4, 25.7, 21.2. MS: (264, M+1). % purity (HPLC): 99.9.

### 5.3. EXAMPLE 3: Synthesis of (±)-N-desmethylvenlafaxine

To a solution of 1-[amino (4-methoxyphenyl)ethyl]cyclohexanol (1.0 g, 4.0 mmol) in 8 mL of toluene, 96% formic acid (0.37 g, 8.0 mmol) was added and the reaction was refluxed for 4 hours. It was cooled to room temperature and poured into 40 mL saturated aqueous NaHCO<sub>3</sub>. Toluene layer was separated and aqueous layer was extracted with toluene (3 x 15 mL). Combined toluene layer was washed with water (3 x 15 mL), brine (1 x 15 mL) and dried (Na<sub>2</sub>SO<sub>4</sub>). Toluene was evaporated *in vacuo* to give crude N-formyl compound as yellow gum (0.930 g, 83.8 % yield). <sup>1</sup>H (CDCl<sub>3</sub>): 7.95 (s, 1H), 7.15 and 6.85 (q, 4H), 5.80 (s, 1H), 4.10 (m, 1H), 3.80 (s, 3H), 3.50 (s, 1H), 2.80 (dd, 1H), 1.50 (m, 10H); <sup>13</sup>C (CDCl<sub>3</sub>): 161.4, 158.8, 131.0, 130.7, 113.9, 73.0, 55.3, 54.2, 38.1, 36.1, 35.6, 25.6, 21.9, 21.8. (Impurity: 164.5, 129.0, 128.0, 125.0, 56.5, 42.0, 36.5, 35.5). MS (277, M+).

To a solution of crude N-formyl compound (0.585 g, 2.1 mmol) in 6 mL THF was added BH<sub>3</sub>·Me<sub>2</sub>S (0.480 g, 0.63 mL of 10 M solution, 6.3 mmol) slowly at 0°C. The reaction was allowed to warm to room temperature and then was refluxed for 5 hours. It was cooled to 0°C and 5 mL of methanol was added very carefully controlling the temperature below 10°C. The reaction was stirred for 10 minutes and volatiles were evaporated off. Residue was partitioned between 3N HCl (20 mL) and ethyl acetate (20 mL). Organic layer was separated and aqueous layer was extracted with ethyl acetate (3 x 15 mL). Aqueous layer was cooled to 0°C and was basified by slow addition of conc. NH<sub>4</sub>OH. Aqueous layer was saturated with NaCl and was extracted with ethyl acetate (3 x 20 mL). Combined ethyl acetate layer was dried (Na<sub>2</sub>SO<sub>4</sub>), ethyl acetate was evaporated *in vacuo* to give colorless oil (0.493 g, 88.8% yield). <sup>1</sup>H (CDCl<sub>3</sub>): 7.15 and 6.85 (q, 4H), 3.80

(s, 3H), 3.25 (dd, 1H), 2.95 (dd, 1H), 2.82 (dd, 1H), 2.45 (s, 3H), 1.40 (m, 10H); <sup>13</sup>C (CDCl<sub>3</sub>): 158.4, 133.0, 130.5, 113.7, 73.9, 55.4, 53.8, 53.0, 37.8, 36.5, 33.7, 26.0, 21.9.

**(±)-N-desmethylvenlafaxine·HCl Salt**

- 5 To a solution of crude (±)-N-desmethylvenlafaxine (0.450 g, 1.7 mmol) in 25 mL MTBE was added 1 mL of 15% HCl in MTBE at 0°C. The resulting slurry was stirred at 0°C for 15 minutes, filtered, solid was washed with MTBE, dried *in vacuo* to give the product as colorless solid (0.380 g, 74.2 % yield). <sup>1</sup>H (CDCl<sub>3</sub>): 9.10 (br d, 1H), 7.15 and 6.85 (q, 4H), 3.80 (m & s, 4H), 3.35 (dd, 1H), 3.15 (m, 1H), 2.70 (t, 3H), 1.30 (m, 10H); <sup>13</sup>C (CDCl<sub>3</sub>): 159.0, 130.71, 130.4, 114.0, 74.7, 55.4, 52.8, 50.9, 37.0, 34.1, 30.9, 25.5, 21.4. %Purity (HPLC): 98.81.

**5.4. EXAMPLE 4: Synthesis of (±)-N,N-didesmethylvenlafaxine·HCl Salt**

- 15 To a solution of 1-[amino (4-methoxyphenyl)ethyl]cyclohexanol (0.750 g, 3.0 mmol) in 75 mL MTBE was added 2 mL of 15% HCl in MTBE. The reaction was stirred at 0°C for 15 minutes. It was then evaporated to dryness and the residue was trichlorated with MTBE/ hexane (6:4). Solid was filtered, washed with MTBE/ hexane (6:4). The solid was suspended in cold MTBE, filtered, washed with cold MTBE, dried *in vacuo* to give the product as colorless solid (0.450 g, 52.3 % yield). <sup>1</sup>H (DMSO, d<sub>6</sub>): 7.80 (br s, 2H), 7.20 and 6.90 (q, 4H), 4.50 (br s, 1H), 3.80 (s, 3H), 3.40 (m, 1H), 3.10 (m, 1H), 2.90 (m, 1H), 1.35 (m, 10H); <sup>13</sup>C (DMSO, d<sub>6</sub>): 158.3, 130.7, 130.0, 113.5, 71.7, 54.9, 52.6, 36.3, 33.6, 26.8, 25.3, 21.4, 21.1. % Purity (HPLC): 99.3.

**5.5. EXAMPLE 5: Synthesis of (±)-O-desmethyl-N,N-didesmethylvenlafaxine**

- 25 To a solution of diphenylphosphine (22.2 g, 0.12 mol) in 175 mL THF was added a 1.6 M THF solution of n-BuLi (94 mL, 0.15 mol) slowly maintaining the reaction temperature between -10°C to 0°C. After the addition reaction was stirred at 0°C for 30 minutes. A solution of (±)-N,N-didemethylvenlafaxine 13 (5.4 g, 0.021 mol) in 55 mL THF was added slowly at 0°C. The reaction mixture was stirred at 0°C for 30 minutes and allowed to warm to room temperature and stirred at room temperature for 1 hour. It was then refluxed overnight. After cooling the reaction mixture to room temperature, it was poured slowly into 250 mL of 3N HCl while the temperature was maintained below 15°C. After stirring for 30 minutes, the aqueous layer was extracted with methylene chloride (3x200 mL). The aqueous layer was adjusted to pH 6.8-6.9 by slow addition of concentrated NH<sub>4</sub>OH at 15°C and was extracted with methylene chloride (3x100 mL). The aqueous layer was then evaporated to dryness to give a colorless solid. This colorless solid was suspended in 400 mL methylene chloride/methanol (7:3) and was stirred for 1 hour. The insolubles were filtered off, washed with methylene chloride/methanol (7:3). The

filtrate was evaporated off to give colorless solid. 6.0 g of the colorless solid was chromatographed on silica gel. Elution with methylene chloride/methanol (9:1→8.5:1.5) afforded the product as a colorless solid (1.5 g.). <sup>1</sup>H (DMSO, d<sub>6</sub>): 8.1 (br s, exchangeable, 1H), 6.95 and 6.75 (q, 4H), 4.6 (m, exchangeable, 2H), 3.3 (m, 1H), 2.9 (m, 2H), 1.2 (m, 10H); <sup>13</sup>C (DMSO, d<sub>6</sub>): 156.8, 130.5, 128.5, 115.2, 72.0, 52.1, 48.6, 36.6, 33.6, 25.6, 21.7, 21.3. %Purity (HPLC): 97.4%.

#### 5.6. **EXAMPLE 6: Determination of Potency and Specificity**

Several methods useful for the determination of the potency and specificity of the compounds of this invention are disclosed in the literature. See, e.g., Haskins, J.T. et al. Euro. J. Pharmacol. 115:139-146 (1985). Methods that have been found particularly useful are disclosed by Muth, E.A. et al. Biochem. Pharmacol. 35:4493-4497 (1986) and Muth, E.A. et al. Drug Develop. Res. 23:191-199 (1991), both of which are incorporated herein by reference.

##### 5.6.1 **Receptor Binding**

Determination of receptor binding of the compounds of this invention preferably is performed by the methods disclosed by Muth et al., and using the protocols summarized below in Table I.



TABLE I. Receptor Binding Protocols

Receptor	<sup>3</sup> H-Ligand	Molarity (nM)	Specific activity (Ci/mmol)	Incubation		
				Buffer	Time	Temp. (°C)
Dopamine-2	Spiroperone	0.3	20-40	* a	10 min	37°
Adrenergic	WB 4101	0.5	15-30	50 mM Tris-HCl pH 7.7	30 min	25°
Muscarinic cholinergic	Quinacrine dihydrochloride	0.06	30-60	50 mM Tris-HCl pH 7.7	1 hr	25°
Histamine-1	Pyramine	2.0	<20	50 mM Phosphate pH 7.5	30 min	25°
Opiate	Naloxone	1.3	40-60	50 mM Tris-HCl pH 7.4	30 min	0-4°

\*50 mM Tris HCl, 120 mM NaCl, 5 mM KCl, 1 mM CaCl<sub>2</sub>, 1 mM MgCl<sub>2</sub>, 0.1 % ascorbic acid, 10 mM pargyline HCl, pH 7.1.

The tissue homogenates used are preferably whole brain except cerebellum (histamine-1 and opiate binding), cortex ( $\alpha_1$  adrenergic receptor binding, monoamine uptake); and striatum (dopamine-2 and muscarinic cholinergic receptor binding).

5

### 5.6.2 Synaptosomal Uptake Studies

These studies may be performed using the modified methodology of Wood, M.D., and Wyllie, M.G. J. Neurochem. 37:795-797 (1981) as described in Muth et al. Biochem. Pharmacol. 35:4493-4497 (1986). Briefly a P2 pellet is prepared from fresh rat brain tissue by sucrose density gradient centrifugation using a vertical rotor. For uptake studies, all components are dissolved in the following buffer: 135 mM NaCl, 5 mM KCl, 10 1.2 mM  $MgCl_2$ , 2.5 mM  $CaCl_2$ , 10 mM glucose, 1 mM ascorbic acid, 20 mM Tris, pH 7.4, gassed with  $O_2$  for 30 min prior to use. Various concentrations of test drug are preincubated with 0.1  $\mu M$  [ $^3H$ ]dopamine or 0.1  $\mu M$  [ $^3H$ ]norepinephrine (130,000 dpm/tube) and 0.1  $\mu M$  [ $^{14}C$ ]serotonin (7,500 dpm/tube) in 0.9 ml buffer for 5 min at 37°C. One-tenth milliliter of 15 synaptosomal preparation is added to each tube and incubated for a further 4 min at 37°C. The reaction is then terminated by the addition of 2.5 ml buffer, after which the mixture was filtered under vacuum using cellulose acetate filters (0.45  $\mu M$  pore size). The filters are then counted in a scintillation counter, and the results are expressed as pmoles uptake/mg protein/min. The  $IC_{50}$  values for uptake inhibition are calculated by linear regression of 20 logit [percent of  $Na^+$ -dependent uptake] vs. long [concentration of test drug].

### 5.6.3. Reversal of Reserpine-Induced Hypothermia

Reversal of reserpine-induced hypothermia in male CF-1 mice (20-25 g., Charles River) may be performed according to an adaptation of the method of Askew, B. 25 Life Sci. 1:725-730 (1963). Test compounds, suspended or solubilized in 0.25% Tween80® in water, are then administered i.p. at several dose levels to male mice (8/dose level) who had been treated 18 hr previously with 45.0 mg/kg reserpine s.c. A vehicle control group is run simultaneously with drug groups. Test compounds, vehicle, and reserpine are administered at a volume of 0.01 ml/g. Reserpine is solubilized by the 30 addition of a small amount (approximately 4 drops) of concentrated acetic acid and then brought to the proper volume by the addition of distilled water. Rectal temperatures are recorded by a Yellow Springs Instruments thermistor probe at a dept of 2 cm. Measurements are taken 18 hr after reserpine pretreatment and at hourly intervals for 3 hr following administration of either test compound or vehicle. 35 Rectal temperatures for all time periods are subjected to a two-way analysis of variance for repeated measures with subsequent Dunnett's comparison to control values to determine the minimum effective dose (MED) for antagonizing reserpine-induced hypothermia.

#### 5.6.4. Induction of Rat Pineal Noradrenergic Subsensitivity

Suitable rats are male Sprague-Dawley rats (250-300 g, Charles River) which should be maintained in continuous light throughout all experiments so as to attenuate the diurnal fluctuation in beta-adrenergic receptor density in the pineal gland and to maintain a consistent supersensitive response to noradrenergic agonists. Moyer, J.A. et al. Soc. Neurosci. Abstract 10:261 (1984). After 2 days of continuous light exposure, the rats are then injected twice daily with either saline or test compound (10 mg/kg i.p.) for 5 days (total of 9 injections). Another group of rats should receive saline injections twice daily for 4 days followed by a single injection of test compound (10 mg/kg i.p.) on the 5th day. One hour following the final injection of test compound or saline, animals are administered either 0.1% ascorbic acid (controls), or isoproterenol (2  $\mu$ mol/kg i.p. in 0.1% ascorbic acid). Rats are decapitated 2.5 minutes later, the time at which preliminary experiments have shown that the isoproterenol-induced increases in cyclic AMP levels in pineal glands are maximal. Moyer, J.A. et al. Mol. Pharmacol. 19:187-193 (1981). Pineal glands are removed and frozen on dry ice within 30 seconds to minimize any post-decapitation increase in cAMP concentration.

Prior to radioimmunoassay for cAMP, the pineal glands are placed in 1 ml of ice-cold 2.5% perchloric acid and sonicated for approximately 15 seconds. The sonicate is then centrifuged at 49,000g for 15 min at 4°C and then resulting supernatant fluid is removed, neutralized with excess  $\text{CaCO}_3$ , and centrifuged at 12,000g for 10 min at 4°C. The cAMP content of the neutralized extract may be measured by a standard radioimmunoassay using  $^{125}\text{I}$ -labeled antigen and antiserum (New England Nuclear Corp., Boston, MA). Steiner, A.L. et al. J. Biol. Chem. 247:1106-1113 (1972). All unknown samples should be assayed in duplicate and compared to standard solutions of cAMP prepared in a 2.5% perchloric acid solution that had been neutralized with  $\text{CaCO}_3$ . Results are expressed as pmol cAMP/pineal, and statistical analyses are performed by analysis of variance with subsequent Student-Newman-Keuls tests.

#### 5.6.5. Single Unit Electrophysiology

The firing rates of individual neurons of the locus coeruleus (LC) or dorsal raphe nucleus (DR) in the chloral-hydrate anesthetized rat are measured using single-barreled glass micro-electrodes as previously described for the LC. Haskins, J.T. et al. Eur. J. Pharmacol. 115:139-146 (1985). Using the stereotaxic orientation of Konig, J.F.R., and Klippel, R.A. The rat brain: A stereotaxic atlas of the forebrain and lower parts of the brain stem Baltimore: Williams and Wilkins (1963), the electrode tips should be lowered via a hydraulic microdrive from a point 1.00 mm above the locus coeruleus (AP 2.00 mm caudal to the interaural line and 1.03 mm lateral to midline). Drugs are administered i.v. through a

lateral tail vein cannula. Only one cell should be studied in each rat in order to avoid residual drug effects.

### 5.7. EXAMPLE 7: Oral Formulation

The pharmaceutical compositions of this invention may be administered in a variety of ways. Oral formulations are of the easiest to administer.

#### 5.7.1. Hard Gelatin Capsule Dosage Forms

Table II provides the ingredients of suitable capsule forms of the pharmaceutical compositions of this invention.

**TABLE II**

Component	25 mg capsule	50 mg capsule	100 mg capsule
(±)-O-desmethyl-venlafaxine	25	50	100
Microcrystalline Cellulose	90.0	90.0	90.0
Pre-gelatinized Starch	100.3	97.8	82.8
Croscarmellose	7.0	7.0	7.0
Magnesium Stearate	0.2	0.2	0.2

The active ingredient (venlafaxine derivative) is sieved and blended with the excipients listed. The mixture is filled into suitably sized two-piece hard gelatin capsules using suitable machinery and methods well known in the art. See Remington's Pharmaceutical Sciences, 16th or 18th Editions, each incorporated herein in its entirety by reference thereto. Other doses may be prepared by altering the fill weight and, if necessary, by changing the capsule size to suit. Any of the stable hard gelatin capsule formulations above may be formed.

#### 5.7.2. Compressed Tablet Dosage Forms

The ingredients of compressed tablet forms of the pharmaceutical compositions of the invention are provided in Table III.

**TABLE III. Compressed Tablet Unit Dosage Forms**

	<b>Component</b>	<b>25 mg capsule</b>	<b>50 mg capsule</b>	<b>100 mg capsule</b>
5	(±)-O-desmethyl-venlafaxine	25	50	100
	Microcrystalline Cellulose	90.0	90.0	90.0
	Pre-gelatinized Starch	100.3	97.8	82.8
10	Croscarmellose	7.0	7.0	7.0
	Magnesium Stearate	0.2	0.2	0.2

15 The active ingredient is sieved through a suitable sieve and blended with the excipients until a uniform blend is formed. The dry blend is screened and blended with the magnesium stearate. The resulting powder blend is then compressed into tablets of desired shape and size. Tablets of other strengths may be prepared by altering the ratio of the active ingredient to the excipient(s) or modifying the table weight.

20 While the invention has been described with respect to the particular embodiments, it will be apparent to those skilled in the art that various changes and modifications may be made without departing from the spirit and scope of the invention as defined in the claims. Such modifications are also intended to fall within the scope of the appended claims.

## THE CLAIMS

What is claimed is:

- 5                    1.        A pharmaceutical composition which comprises venlafaxine derivative, or a pharmaceutically acceptable salt, solvate, or clathrate thereof, and a pharmaceutically acceptable carrier or excipient.
- 10                   2.        The pharmaceutical composition of claim 1 wherein the venlafaxine derivative is selected from the group consisting of (±)-O-desmethylvenlafaxine, (±)-N-desmethylvenlafaxine, (±)-N,O-didesmethylvenlafaxine, and (±)-N,N-didesmethylvenlafaxine.
- 15                   3.        The pharmaceutical composition of claim 2 wherein the venlafaxine derivative is (±)-O-desmethylvenlafaxine or (±)-N,O-didesmethylvenlafaxine.
4.        The pharmaceutical composition of claim 1 adapted for intravenous infusion, transdermal delivery, or oral delivery.
- 20                   5.        The pharmaceutical composition of claim 1 wherein the venlafaxine derivative comprises a hydrochloride salt thereof.
6.        The pharmaceutical composition of claim 1 wherein said pharmaceutically acceptable excipient comprises lactose, croscarmellose sodium, 25        microcrystalline cellulose, pre-gelatinized starch, and magnesium stearate.
7.        The pharmaceutical composition of claim 1 wherein said pharmaceutical composition is substantially free of all mono- or di-saccharides.
- 30                   8.        The pharmaceutical composition of claim 7 wherein said pharmaceutical composition is lactose-free.
9.        The pharmaceutical composition of claim 1 wherein the venlafaxine derivative is (±)-O-desmethylvenlafaxine and the excipient comprises lactose.
- 35                   10.       The pharmaceutical composition of claim 9 wherein the excipient further comprises microcrystalline cellulose, pre-gelatinized starch, magnesium stearate, and croscarmellose sodium.

11. A pharmaceutical dosage form which comprises a therapeutically effective amount of venlafaxine derivative or a pharmaceutically acceptable salt, solvate, or clathrate thereof and a pharmaceutically acceptable carrier or excipient.

5

12. The dosage form of claim 11 wherein said dosage form is a tablet or a capsule.

10 13. The dosage form of claim 11 adapted for intravenous infusion, transdermal delivery, or oral delivery.

14. The dosage form of claim 13 wherein the therapeutically effective amount is from about 10 mg to about 1000 mg.

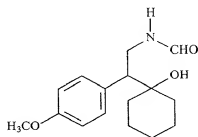
15 15. The dosage form of claim 14 wherein the therapeutically effective amount is from about 50 mg to about 500 mg.

16. The dosage form of claim 15 wherein the therapeutically effective amount is from about 75 mg to about 350 mg.

20

17. A method of preparing ( $\pm$ )-N-desmethylvenlafaxine which comprises contacting a compound of Formula 5:

25



5

30 with a reductant for a time and at a temperature sufficient to form ( $\pm$ )-N-desmethylvenlafaxine.

18. The method of claim 17 wherein the reductant is  $\text{BH}_3 \cdot \text{Me}_2\text{S}$ .

35 19. A method of preparing ( $\pm$ )-N,N-didesmethylvenlafaxine which comprises contacting a compound of Formula 2:



2

10

21. A method of preparing ( $\pm$ )-O-desmethylvenlafaxine which comprises  
-venlafaxine with lithium diphenylphosphide for a time and at a temperature  
form ( $\pm$ )-O-desmethylvenlafaxine.

15

23. Substantially pure ( $\pm$ )-N,O-didesmethylvenlafaxine and

20

24. Substantially pure ( $\pm$ )-O-desmethyl-N,N-didesmethylvenlafaxine and all acceptable salts, solvates, and clathrates thereof.

25

26. (±)-N,N-didesmethylvenlafaxine and pharmaceutically acceptable salts and clathrates thereof.

30

35

- 31 -



insufficient to cause adverse effects associated with the administration of racemic venlafaxine.

29. The method of claim 27 wherein the affective disorder is selected  
5 from the group consisting of depression, attention deficit disorder, and attention deficit disorder with hyperactivity.

30. A method for treating obesity or weight gain in a human which  
comprises administering to a human in need of a reduction or maintenance in weight, a  
10 therapeutically effective amount of a venlafaxine derivative, or a pharmaceutically acceptable salt, solvate or clathrate thereof.

31. The method for treating obesity or weight gain in a human according  
to claim 30 wherein said amount is sufficient to alleviate obesity or weight gain but  
15 insufficient to cause the adverse effects associated with administration of racemic venlafaxine.

32. A method of treating disorders ameliorated by inhibition of neuronal  
monoamine reuptake in a human which comprises administering to a human in need of such  
20 treatment a therapeutically effective amount of a venlafaxine derivative, or a pharmaceutically acceptable salt, solvate or clathrate thereof.

33. The method of treating disorders ameliorated by inhibition of  
neuronal monoamine reuptake in a human according to claim 31 in which said amount is  
25 sufficient to alleviate said disorders but insufficient to cause adverse effects associated with administration of racemic venlafaxine.

34. The method of treating disorders ameliorated by inhibition of  
neuronal monoamine reuptake in a human according to claim 32 wherein said monoamine  
30 is dopamine.

35. The method of treating disorders ameliorated by inhibition of  
neuronal monoamine reuptake in a human according to claim 32 wherein said disorder is  
Parkinson's disease or epilepsy.

36. A method for treating cerebral function disorders in humans which  
comprises administering to a human in need of such treatment a therapeutically effective

amount of a venlafaxine derivative, or a pharmaceutically acceptable salt, solvate or clathrate thereof.

37. The method for treating cerebral function disorders in a human  
5 according to claim 35 wherein said amount is sufficient to alleviate cerebral function disorders but insufficient to cause adverse effects associated with administration of racemic venlafaxine.

38. The method for treating cerebral function disorders in a human  
10 according to claim 35 wherein said disorder is caused by a cerebrovascular disease.

39. The method for treating cerebral function disorders in a human  
according to claim 38 wherein said cerebrovascular disease is selected from the group  
consisting of cerebral infarction, cerebral bleeding, cerebral arteriosclerosis, cerebral venous  
15 thrombosis and head injuries.

40. The method for treating cerebral function disorders in a human  
according to claim 35 wherein said cerebral function disorder is selected from the group  
consisting of senile dementia, Alzheimer's type dementia, memory loss and  
20 amnesia/amnestic syndrome.

41. A method for treating pain in humans which comprises administering  
to a human in need of such treatment a therapeutically effective amount of a venlafaxine  
derivative, or a pharmaceutically acceptable salt thereof, solvate or clathrate thereof.  
25

42. The method for treating pain in a human according to claim 41  
wherein said amount is sufficient to alleviate pain but insufficient to cause adverse effects  
associated with administration of racemic venlafaxine.

30 43. The method for treating pain in a human according to claim 41  
wherein the pain is chronic pain.

44. A method of treating an obsessive-compulsive disorder in a human,  
which comprises administering to a human in need of such treatment a therapeutically  
35 effective amount of a venlafaxine derivative, or a pharmaceutically acceptable salt, solvate  
or clathrate thereof.

45. A method of treating substance abuse in a human, which comprises administering to a human in need of such treatment a therapeutically effective amount of a venlafaxine derivative, or a pharmaceutically acceptable salt, solvate or clathrate thereof.
- 5 46. A method of treating or preventing pre-menstrual syndrome in a human, which comprises administering to a human in need of such treatment or prevention a therapeutically effective amount of a venlafaxine derivative, or a pharmaceutically acceptable salt, solvate or clathrate thereof.
- 10 47. A method of treating anxiety in a human which comprises administering to a human in need of such treatment a therapeutically effective amount of a venlafaxine derivative, or a pharmaceutically acceptable salt, solvate or clathrate thereof.
- 15 48. A method of treating an eating disorder in a human which comprises administering to a human in need of such treatment a therapeutically effective amount of a venlafaxine derivative, or a pharmaceutically acceptable salt, solvate or clathrate thereof.
- 20 49. A method of treating or preventing migraine, or migraine headaches, in a human which comprises administering to a human in need of such treatment a therapeutically effective amount of a venlafaxine derivative, or a pharmaceutically acceptable salt, solvate or clathrate thereof.
- 25 50. A method for treating or preventing incontinence in a human which comprises administering to a human in need of such treatment a therapeutically effective amount of a venlafaxine derivative, or a pharmaceutically acceptable salt, solvate or clathrate thereof.
- 30 51. The method of claim 50 wherein said incontinence is selected from the group consisting fecal incontinence, overflow incontinence, passive incontinence, reflex incontinence, stress urinary incontinence, urge incontinence, urinary exertional incontinence, and incontinence of urine.
- 35 52. The method of claim 27 wherein the venlafaxine derivative is selected from the group consisting of ( $\pm$ )-O-desmethylvenlafaxine, ( $\pm$ )-N-desmethylvenlafaxine, ( $\pm$ )-N,O-didesmethylvenlafaxine, and ( $\pm$ )-N,N-didesmethylvenlafaxine.

53. The method of claim 52 wherein the venlafaxine derivative is (±)-O-desmethylvenlafaxine or (±)-N,O-didesmethylvenlafaxine.

5 54. The method of claim 27 wherein venlafaxine derivative is administered by intravenous infusion, transdermal delivery, or orally as a tablet or a capsule.

10 55. The method of claim 27 wherein the amount administered is from about 10 mg to about 1000 mg per day.

56. The method of claim 55 wherein the amount administered is from about 50 mg to about 500 mg per day.

15 57. The method of claim 56 wherein the amount administered is from about 75 mg to about 350 mg per day.

20 58. The method of claim 27 wherein the venlafaxine derivative, or a pharmaceutically acceptable salt thereof, is administered together with a pharmaceutically acceptable carrier.

59. The method of claim 27 wherein the venlafaxine derivative is administered as a hydrochloride salt.

**DERIVATIVES OF VENLAFAXINE AND  
METHODS OF PREPARING AND USING THE SAME**

**ABSTRACT**

- 5               Methods of preparing, and compositions comprising, derivatives of  
venlafaxine are disclosed. Also disclosed are methods of treating and preventing diseases  
and disorders including, but not limited to, affective disorders such as depression, bipolar  
and manic disorders, attention deficit disorder, attention deficit disorder with hyperactivity,  
Parkinson's disease, epilepsy, cerebral function disorders, obesity and weight gain,  
10   incontinence, dementia and related disorders.

# DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below at 201 et seq. underneath my name.

I believe I am the original, first and sole inventor if only one name is listed at 201 below, or an original, first and joint inventor if plural names are listed at 201 et seq. below, of the subject matter which is claimed and for which a patent is sought on the invention entitled

## DERIVATIVES OF VENLAFAXINE AND METHODS OF PREPARING AND USING THE SAME

and for which a patent application:

☒ is attached hereto and includes amendment(s) filed on (if applicable)

☐ was filed in the United States on as Application No. (for declaration not accompanying application)

with amendment(s) filed on (if applicable)

☐ was filed as PCT international Application No. on and was amended under PCT Article 19 on (if applicable)

I hereby state that I have reviewed and understand the contents of the above identified application, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119(a)-(d) of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

EARLIEST FOREIGN APPLICATION(S), IF ANY, FILED PRIOR TO THE FILING DATE OF THE APPLICATION			
APPLICATION NUMBER	COUNTRY	DATE OF FILING (day, month, year)	PRIORITY CLAIMED
			YES <input type="checkbox"/> NO <input type="checkbox"/>
			YES <input type="checkbox"/> NO <input type="checkbox"/>

I hereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional application(s) listed below.

APPLICATION NUMBER	FILING DATE
60/127,938	April 6, 1999
60/167,906	November 30, 1999

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code §112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

APPLICATION SERIAL NO	FILING DATE	STATUS		
		PATENTED	PENDING	ABANDONED

POWER OF ATTORNEY. As a named inventor, I hereby appoint S. Leslie Misrock (Reg. No. 18872), Harry C. Jones, III (Reg. No. 20280), Berj A. Terzian (Reg. No. 20060), David Weild, III (Reg. No. 21094), Jonathan A. Marshall (Reg. No. 24614), Barry D. Rein (Reg. No. 22411), Stanton T. Lawrence, III (Reg. No. 25736), Charles E. McKenney (Reg. No. 22795), Philip T. Shannon (Reg. No. 24278), Francis E. Morris (Reg. No. 24615), Charles E. Miller (Reg. No. 24576), Gidon D. Stern (Reg. No. 27469), John J. Lauter, Jr. (Reg. No. 27814), Brian M. Poissant (Reg. No. 28462), Brian D. Coggio (Reg. No. 27624), Rory J. Radding (Reg. No. 28749), Stephen J. Harkulak (Reg. No. 29166), Donald J. Goodell (Reg. No. 19766), James N. Palik (Reg. No. 25510), Thomas E. Friebe (Reg. No. 29258), Laura A. Coruzzi (Reg. No. 30742), Jennifer Gordon (Reg. No. 30753), Allan A. Fanucci (Reg. No. 30256), Geraldine F. Baldwin (Reg. No. 31232), Victor N. Balancia (Reg. No. 31231), Samuel B. Abrams (Reg. No. 30605), Steven I. Wallach (Reg. No. 35402), Marcia H. Sundeen (Reg. No. 30893), Paul J. Zegger (Reg. No. 33821), Edmond R. Bannon (Reg. No. 32110), Bruce J. Barker (Reg. No. 33291), Adriane M. Antler (Reg. No. 32605), Thomas G. Rowan (Reg. No. 34419), James G. Markey (Reg. No. 31636), Thomas D. Kohler (Reg. No. 32797), Scott D. Stimpson (Reg. No. 33607), Gary S. Williams (Reg. No. 31066), William S. Galliano (Reg. No. 33885), Ann L. Gisolfi (Reg. No. 31956), Todd A. Wagner (Reg. No. 35399), Scott B. Famulant (Reg. No. 35514), Kelly D. Talcott (Reg. No. 39582), Francis D. Cerrito (Reg. No. 38100), Anthony M. Insigna (Reg. No. 35203), Brian M. Rothery (Reg. No. 35340), Brian D. Siff (Reg. No. 35679), and Alan Tenenbaum (Reg. No. 34939), all of Pennie & Edmonds LLP, whose addresses are 1155 Avenue of the Americas, New York, New York 10036, 1667 K Street N.W., Washington, DC 20006 and 3300 Hillview Avenue, Palo Alto, CA 94304, and each of them, my attorneys, to prosecute this application, and to transact all business in the Patent and Trademark Office connected therewith

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2 0 3	FULL NAME OF INVENTOR	LAST NAME	FIRST NAME	MIDDLE NAME	
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2 0 4	FULL NAME OF INVENTOR	LAST NAME	FIRST NAME	MIDDLE NAME	
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2 0 5	FULL NAME OF INVENTOR	LAST NAME	FIRST NAME	MIDDLE NAME	
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2 0 6	FULL NAME OF INVENTOR	LAST NAME	FIRST NAME	MIDDLE NAME	
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	POST OFFICE ADDRESS	STREET	CITY	STATE OR COUNTRY	ZIP CODE

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

SIGNATURE OF Thomas P. JERUSSI	SIGNATURE OF Chrisantha H. SENANAYAKE
DATE	DATE